

AUG 13 2002

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/BINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	36898/LTR/B600
Application Number	09/737,276
Filing Date	December 13, 2000
Applicant(s)	Thomas J. Kolze
Group Art Unit	2711 2666
Examiner Name	Not Assigned DUONG, J

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
TD		International Preliminary Examination Report dated July 17, 2002 from corresponding PCT Application No. PCT/US00/42827

EXAMINER SIGNATURE	<i>[Signature]</i>	DATE CONSIDERED	10/07/04
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Group Art Unit

Examiner Name

~~Not Assigned~~ Wong, J

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TD		Written Opinion dated May 21, 2002 from corresponding PCT Application No. PCT/US00/42827

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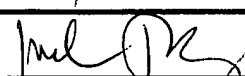
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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	36898/HLE/B600
	Application Number	To be assigned
	Filing Date	Herewith
	Applicant(s)	Thomas J. Kolze
	Group Art Unit	To be assigned 2666
	Examiner Name	To be assigned DUONG, T

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EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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TD	Cable Television Laboratories, Inc., "Data-Over-Cable Service Interface Specifications, Radio Frequency Interface Specification, SP-RFiv1.I03-991105," pp. 147-154 (1999).
	Cable Television Laboratories, Inc., "Data-Over-Cable Service Interface Specifications, Burst Profiles, SP-RFiv1.I03-991105," Section 4.2.7, pp. 27-30 (1999).
	Cable Television Laboratories, Inc., "Data-Over-Cable Service Interface Specifications, Registration Request (REG-REQ), SP-RFiv1.I03-991105," Section 6.3.7, p. 86 (1999).
	Cable Television Laboratories, Inc., "Data-Over-Cable Service Interface Specifications, Ranging, SP-RFiv1.I03-991105," Section 7.3.3, p. 115 (1999).
	Cable Television Laboratories, Inc., "Data-Over-Cable Service Interface Specifications, Cable Modem - CMTS Interaction, SP-RFiv1.I03-991105," Sections 9.1 - 9.2.10, pp. 155-171 (1999).
	Cable Television Laboratories, Inc., "Data-Over-Cable Service Interface Specifications, Vendor-Specific Information, SP-RFiv1.I03-991105," Section C.1.1.17, p. 230 (1999).
	Cable Television Laboratories, Inc., "Data-Over-Cable Service Interface Specifications, SP-RFiv1.I03-991105," Sections C.1.3 - C.1.3.4, pp. 233-236 (1999).
FD	Kolze, T., "Upstream HFC Channel Modeling and Physical Layer Design," General Instrument Corporation, XP-000925028.

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